Kinetics of ordering in fluctuation-driven first-order transitions: Simulation and theory

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Many systems involving competing interactions or interactions that compete with constraints are well described by a model first introduced by Brazovskii [Zh. Eksp. Teor. Fiz. **68**, 175 (1975) [Sov. Phys. JETP **41**, 85 (1975)]]. The hallmark of this model is that the fluctuation spectrum is isotropic and has a maximum at a nonzero wave vector represented by the surface of a *d*-dimensional hypersphere. It was shown by Brazovskii that the fluctuations change the free energy structure from a ϕ^4 to a ϕ^6 form with the disordered state metastable for all quench depths. The transition from the disordered phase to the periodic lamellar structure changes from second order to first order and suggests that the dynamics is governed by nucleation. Using numerical simulations we have confirmed that the equilibrium free energy function is indeed of a ϕ^6 form. A study of the dynamics, however, shows that, following a deep quench, the dynamics is described by unstable growth rather than nucleation. A dynamical calculation, based on a generalization of the Brazovskii calculations, shows that the disordered state can remain unstable for a long time following the quench.

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I. INTRODUCTION

Kinetics of growth can be broadly classified into two categories: nucleation and spinodal decomposition (or continuous ordering). The former applies to situations where the initial state is metastable and the latter where the initial state is unstable. The distinction becomes unclear near the limit of metastability and in systems where the concept of metastability itself is ill defined. A class of systems where the definition of metastability becomes ambiguous is one where there is a fluctuation-driven first-order phase transition. A theoretical model describing these transitions was proposed by Brazovskii in 1974 [1]. The Brazovskii model has been shown to apply to the nematic to smectic-C transition in liquid crystals [2], to the onset of Rayleigh-Bénard convection [3,4], and to microphase separation in symmetric diblock copolymers in the weak segregation limit [5,6]. In the diblock copolymer system, experiments have shown that the Brazovskii scenario can describe the nature of the firstorder transition [7].

It was shown by Brazovskii, within a self-consistent Hartree approximation, that the fluctuations destroy the meanfield instability and lead to a first-order phase transition [1,8]. Theories of nucleation and growth have been constructed based on the idea that the static, Brazovskii-renormalized theory can provide an effective potential for a stochastic Langevin equation [8,9]. In this paper we examine the validity of this description by using numerical simulations to study the relaxational dynamics of a model described by the Brazovskii Hamiltonian. These results are compared to the predictions of "static" nucleation theories and to the predictions of a theory [10] based on the dynamical action formalism.

The rest of the paper is laid out as follows. The dynamical equation under consideration is introduced in the next section along with an outline of the computational approach. In the third section a review of the static results of Brazovskii and the simulation results that confirms this picture is presented. A scaling presented by Hohenberg and Swift [9] is also confirmed. A review of a dynamical perturbation theory presented in Ref. [10] is given in Sec. IV. This work uses the dynamical action formulation [11] to study the contributions of perturbations around the classical path. The contributions considered are of the same order as those considered by Brazovskii in the static calculation. These results are compared to simulations. A numerical analysis of the theory suggests that, after the system is quenched to low temperatures, the disordered metastable well develops slowly. During this evolution of the free energy the system has time to develop a lamellar structure. This picture is supported by our simulation results in which the effective curvature of the disordered free energy is measured. That curvature is shown to be negative at early times after a quench and becomes positive only at late times. Thus the evolution of the order parameter is better described by continuous ordering rather than nucleation. This is the main result of this paper. The last section contains suggestions for testing these results experimentally as well as plans for future work.

II. EQUATION OF MOTION AND NUMERICAL SIMULATIONS

Our starting point is the same as in Ref. [9] and describes a system with model A dynamics [12] and a Brazovskii Hamiltonian (cf. [13] for consideration of block copolymers). The Brazovskii Hamiltonian is characterized by a fluctuation spectrum whose maximum occurs at a nonzero wave vector $|\mathbf{q}| = q_0$ and can be represented by a hypersphere in d dimensions. The full form of the Hamiltonian is

$$H = \int dx \left(\frac{1}{2} \phi (\nabla^2 + q_0^2)^2 \phi + \frac{1}{2} \tau \phi^2 + \frac{1}{4!} \lambda \phi^4 \right).$$
(1)

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The dynamics is taken to be relaxational and so the equation of motion is given by the Langevin equation,

$$\frac{d\phi}{dt} = -M \frac{\delta H}{\delta \phi} + \eta, \qquad (2)$$

where *M* is the mobility (which sets the time scale for the problem) and η is the usual Gaussian noise $[\langle \eta \rangle = 0$ and $\langle \eta(\vec{x},t) \eta(\vec{x}',t') \rangle = \delta(\vec{x}-\vec{x}') \delta(t-t')].$

The stochastic Langevin equation derived from the Hamiltonian differs from the usual Ginzburg-Landau description [12] in the appearance of an unusual gradient term. This dynamical equation is usually referred to as the Swift-Hohenberg (S-H) equation and falls into the type I_s classification of Cross and Hohenberg [14]. In this classification the system is unstable to a static, spatially periodic structure.

The complete equation of motion is

$$\frac{d\phi}{dt} = -M\left(q_0^2\nabla^2\phi + \nabla^4\phi + (q_0^4 + \tau)\phi + \frac{\lambda}{6}\phi^3\right) + \eta. \quad (3)$$

In Eq. (3), the coupling constant λ has been rescaled by the noise strength. For systems where the noise strength is small, such as Rayleigh-Bénard convection [4], the effective coupling constant is also small. In diblock copolymers, the noise strength is of the order of k_BT .

For numerical calculations, the Langevin equation must be approximated by a discrete equation:

$$\phi(t+\Delta t) = \phi(t) - M\Delta t \left(\mathcal{L}\phi + \mathcal{L}^2\phi + (r+q_0^4)\phi + \frac{\lambda}{6}\phi^3 \right) + [M\Delta t/(\Delta x)^3]^{1/2}\eta.$$
(4)

 \mathcal{L} here is the discrete Laplacian. This can take on several forms, although for this work we use the simplest form, $d^2\phi/dx^2 \approx \mathcal{L}\phi = \Sigma[(1/\Delta x^2)(x+\Delta x) + \phi(x-\Delta x) - 2\phi(x)]$, where the sum is over the dimensions of the lattice. Other choices that include next nearest or more complicated neighborhoods are possible [15]. This is important if isotropy is of concern; however, the effect is small and can be ignored. The scaling of the noise in Eq. (4) reflects the fact that, as the cell size or time step becomes smaller (larger), the possible fluctuations become larger (smaller).

For these simulations we set $q_0 = 1$ and choose the lattice spacing such that one lamellar spacing spans six lattice sites $(\Delta x = 6/2\pi)$ For most of this work the overall lattice size is 60^3 . The mobility *M* is set to 1. In order for the simulations to be numerically stable the time scale must be smaller than some stability time, $\Delta t \sim 1/\Delta x^4$. To satisfy this the time scale is chosen to be $\Delta t = 0.01$ and measurements are taken at intervals of $100 \Delta t$ or longer.

Previous theories of nucleation have analyzed the above equation under the approximation that the effects of fluctuations can be incorporated into a *static* renormalized free energy F_R which replaces the bare free energy F in Eq. (2) [8,9]. We will compare our numerical results to the Brazovskii predictions for the static renormalized parameters and show that the static scenario is beautifully borne out by simulations in three dimensions. We will then analyze the time dependence of the structure factor as observed in the numerical simulations and show that this time dependence is



FIG. 1. Diagrams used for static renormalization of the mass parameter in the free energy. The Hartree approximation uses diagrams only up to order λ and then replaces the bare parameter in the integrand with the renormalized one to solve the equations self-consistently.

inconsistent with the dynamical picture based on a static renormalized free energy. A theory based on the *dynamical* perturbative treatment of Eq. (3) can provide a qualitatively correct description of the numerical simulations.

III. STATICS

A. Brazovskii theory

Brazovskii's treatment of the model within the Hartree approximation can be restated in terms of an expansion of the thermodynamic potential $\Gamma(\vec{\phi})$, the generating functional for the vertex functions [11]. This approach has been described in detail by Fredrickson and Binder [8], and within the Hartree approximation leads to a renormalized mass term (*r*) in Eq. (1). The diagrams up to one loop are shown in Fig. 1, and the mass renormalization relation is given by

$$r + (q^{2} - q_{0}^{2})^{2} = \tau + (q^{2} - q_{0}^{2})^{2} + \frac{\lambda}{2} \int \frac{d\mathbf{q}}{(2\pi)^{d}} [\tau + (q^{2} - q_{0}^{2})^{2}]^{-1}.$$
 (5)

This approximation is made self-consistent by replacing the bare parameter in the integrand with the renormalized parameter. Essentially the bare propagator on the loop in Fig. 1 is replaced by a renormalized propagator. This leads to the Hartree result,

$$r = \tau + \frac{\lambda}{2} \int \frac{d\mathbf{q}}{(2\pi)^d} [r + (q^2 - q_0^2)^2]^{-1} = \tau + \alpha \lambda / \sqrt{r}.$$
 (6)

 α includes the geometric factors that depend on the dimension of the system and in three dimensions $\alpha = 1/4 \pi^2$. According to Brazovskii [1] the Hartree approximation is good only for $\lambda^{-6} \ll 1$.

The interesting point about Eq. (6) is that, for all positive and negative values of the bare parameter τ , the renormalized parameter r is always positive. This implies that the disordered phase is always metastable. Brazovskii went on to show that the bare coupling parameter λ gets renormalized to a *negative* value leading to a ϕ^6 theory and the possibility of a first-order phase transition [8].

B. Computational results

Numerical simulations can measure the static structure factor in the disordered phase which is predicted by the Brazovskii theory [1,8] to be

$$S(q)^{-1} = r + (q^2 - q_0^2)^2, \tag{7}$$



where *r* is the renormalized control parameter [1,9]. Thus, $S(q_0)^{-1}$ is just *r*. The renormalized mass can, therefore, be measured by monitoring the peak of the structure factor. The Hartree calculations predict *r* to be positive and asymptotically approaching zero as $\tau \rightarrow -\infty$. Experiments in symmetric diblock copolymers [22] have verified that the behavior of $S(q_0)$ is consistent with the Brazovskii predictions and is very different from the mean-field prediction $[S(q_0) = \tau^{-1}]$ [7].

Figure 2 shows results for r obtained from our simulations for different values of the coupling constant λ . The Gaussian case ($\lambda = 0$) is also shown for comparison. The system was run for 1000 time steps (each time step being $100 \Delta t$) and 100 samples were taken. The data points plotted are the average of the samples while the error bars represent the square root of the standard deviation. For large positive values of the bare control parameter τ , the fourth-order term becomes less important and r approaches the Gaussian value. This is seen clearly for small values of λ . As τ approaches zero, the measured values of r deviate from the Gaussian case and stay positive for all τ . Some care was taken to normalize the values presented in Fig. 2. For the Gaussian case, $S(q_0)^{-1}$ should be linearly related to τ and the slope of the line should be 1. In calculating $S(q_0)$ several normalizations are needed, including the normalization due to the Fourier transform (FT) and the normalization due to circular averaging. While the FT normalization is just related to the system size, the normalization due to circular averaging is more complicated to calculate. Instead of calculating these normalizations directly, the slope of the raw result from the Gaussian case was used to normalize all of the data. Another concern is that for an infinite continuous system this line should have an xintercept at zero; however, for the finite systems on a grid used in the simulations the x intercept is slightly negative. To account for this, all of the data are shifted by an amount equal to that intercept. This is important because when a scaling is applied the values of the results around zero are magnified. A small negative (positive) value becomes a much larger negative (positive) value and so shifts from negative to positive will become important.

In Ref. [9] the authors show that, within the Hartree approximation, the $r(\tau)$ curves for different λ 's can be described by a single functional form in terms of scaled variables τ^* and r^* :

FIG. 2. The renormalized control parameter r (dimensionless) plotted against the bare control parameter τ (dimensionless). The different data sets are for different values of λ . The Gaussian case $\lambda = 0$, which was used to set the scale, is also plotted for comparison. The inset shows the region near the mean-field transition. Note that the renormalized parameter does not cross zero here.

$$r^* = r^* (\alpha \lambda)^{-2/3},$$

 $\tau^* = \tau^* (\alpha \lambda)^{-2/3}.$
(8)

As stated above, in three dimensions $\alpha = 1/4\pi^2$. Figure 3 shows plots of r^* verses τ^* obtained from simulations for three different values of λ . The curves are seen to scale quite well but the scaled curve falls significantly below the theoretical prediction [1,8,9] shown as the thick black line. The theoretical prediction that the value of r^* (and r) never becomes negative and is asymptotic to zero is, however, clearly borne out by the simulations. The deviation from the theoretical line could be a system size effect; however, results for larger and smaller systems are consistent with the data shown in Fig. 3. For the Gaussian case $r^* = \tau^*$ and for large positive values of the bare parameter both the theory and simulations approach this. Since the theoretical results are larger than the simulations and both are above the Gaussian, our simulations suggest that the contributions to the twopoint function from diagrams not included in the Hartree approximation serve to lower the overall correction to meanfield theory. Another property that the simulation data exhibit in Fig. 3 which is not predicted by the theory is that the curves for different values of λ diverge from each other at negative values of τ , i.e., the scaling is not perfect. Smaller values of λ lie closer to the theory, as expected. It is also important to note that the smallest value of λ used was λ =0.06 while the approximation used to derive the theoretical results is valid only for $\lambda \sim 10^{-6}$; so the theoretical scaling predictions seem to be far more robust than expected.

To further explore the nature of the phase transition in this model, we can compare scaled values of $S(q_0)$ obtained from hot (random) and cold (purely modulated order) initial configurations. Figure 4 shows just such a plot. As in the previous plot, the theory is shown as a thick black line. For each value of λ there are two lines presented: one for a disordered start and one for an ordered start. The averaging was done as described above. For positive and small negative values of τ the results from the disordered start and the ordered start are nearly the same. However, for τ^* below some τ_s^* the two differ, with the ordered phase having a higher peak. It should be pointed out that for these values of τ^* the disordered state is not in equilibrium (metastable or stable) and there is a slow but definite time evolution of the



FIG. 3. Scaling of $r(\tau)$ using the form deduced from Hartree theory [Eq. (8)]. The data collapse well except at the deepest quenches. The scaling curve predicted by the Hartree approximation is shown for comparison. It is in fairly good agreement with the data considering that the values of λ used in the simulations are four orders of magnitude larger than that for which the approximation is expected to be valid. Both r^* and τ^* are dimensionless.

structure factor over the time period in which the averages are taken. This time evolution will be discussed in more detail in Sec. IV. For this work the disordered start points are included in the plot simply as a comparison to see where the ordered phase melts. The value where the two data sets diverge can be considered an estimate for the limit of stability (spinodal) of the lamellar phase. As a consistency check the average value of the wave vector was measured. For values of τ above τ_s the wave vector is small and points in a random direction, while for lower values of τ the average wave vector is large and points along the direction that the system was prepared in. For all three sets of data the lamellar spinodal lies in the range of $-2.1 < \tau_s^* < -1.9$. This is consistent with the prediction from Hartree theory that the first-order transition to the lamellar phase occurs at a lower value of τ^* , $\tau_t^* = -2.74$ [9]. That is to say, our measured value for the lamellar spinodal is above the predicted transition temperature as it should be. For values of τ^* below τ^*_s , however, systems prepared in the disordered phase always evolve toward the ordered phase. It is possible that the lamellar ordered phase is enhanced by the boundary conditions (the lattice size is always chosen to be commensurate with the lamellar wavelength); however, it should be noted that lamellae form in many possible directions where the system size is not commensurate with the lamellar wavelength. Discussion in Sec. IV should shed some light on this issue.

IV. DYNAMICS

A. Relaxational dynamics based on static renormalized parameters

Previous analyses of nucleation and metastability, in the context of fluctuation-driven phase transitions, have been based on a Langevin equation with the force obtained from the static, Hartree-renormalized free energy function [8,9]. Fredrickson and Binder [8] used this approach to compute the nucleation barrier and the completion rate of nucleation and growth in diblock copolymers. The interfacial tension was found to be small, leading to a small nucleation barrier and rapid nucleation for deep quenches. The shape of the nucleating droplet was more carefully analyzed by Hohenberg and Swift [9] by taking into account spatial inhomogeneities in the effective free energy function. Their analysis relied on constructing a coarse-grained free energy func-



FIG. 4. Temperature dependence of the average intensity at the peak of the structure factor (in arbitrary units). To show the transition, results from two different starting configurations are compared. The shapes of the symbols denote different values of λ , whereas the shading distinguishes between the starting configurations. The closed symbols represent systems that were started in a disordered state, simulating a hot start, while the open symbols are for systems that were started with an ordered lamellar structure already present. At high temperatures the two starting conditions give nearly the same results, while at low temperatures the systems prepared in the ordered state have higher peak intensities, implying that the systems prepared in the disordered states are in a metastable state or not in equilibrium (see text).

tional. The coarse graining was based on a momentum-shell renormalization idea where fluctuations with momenta far away from the shell defined by $|\mathbf{q}| = q_0$ are successively integrated out. This analysis led to nonspherical droplets and was consistent with the picture of spinodal nucleation that had been obtained earlier [16]. In this picture, there is no essential difference between the kinetics of a fluctuation-driven first-order transition and a weak first-order transition. The results of our simulations suggest a very different scenario for the growth of the lamellar structures in a Brazovskii model.

As pointed out in the work of Hohenberg and Swift [9], a complete theory of the dynamics of fluctuation-driven firstorder transitions would have to be based on a coarse graining of the full dynamics as expressed by the original Langevin equation with the bare Brazovskii Hamiltonian. In this paper, we compare the results of our numerical simulations to the predictions of a Hartree renormalization of the full dynamical equation as expressed in Eq. (2). Our emphasis has been on understanding the nature of the dynamics of the metastable phase and we have not analyzed, in any detail, the spatial structures associated with the growth process.

B. Perturbative analysis of the dynamics and simulation results

In order to systematically analyze the effects of fluctuations on the kinetics of growth of the lamellar phase, perturbative techniques analogous to the static Hartree approximation have to be applied to the Langevin equation. This is most conveniently done through the dynamical action formalism [11]. The application of this method to the S-H equation was outlined by Ignatiev *et al.* [10]. In the dynamical action formalism, the average value of an operator $O(\phi)$ over the noise history is rewritten as a functional integral,

$$\langle O\{\phi(\mathbf{x},t)\}\rangle = \int D\phi \exp(-S[\phi]),$$
 (9)

where $S[\phi]$ is the dynamical action [11].

As in the static case, the calculation of dynamical correlation functions is most conveniently formulated through the construction of a generating function [11]. To establish the closest analogy with the static calculations, it is useful to work with the generator of vertex functions $\Gamma_{dyn}[\bar{\phi}]$, and establish a diagrammatic expansion that is the exact analog of the diagrams retained by Brazovskii in the static calculation. The simulation results demonstrate that the static properties of the S-H equation closely follow the Brazovskii predictions and, therefore, it seems appropriate to apply this approximation scheme to the dynamics. The correspondence between statics and dynamics becomes particularly transparent in a superfield formulation [17], which shows that the dynamical perturbation theory in terms of the superfields has exactly the same structure as the static perturbation theory except for the appearance of a different "kinetic" term, which leads to a bare propagator that is distinct from that of the static theory. The superfield correlation functions can be calculated by constructing diagrams as in the static theory but replacing the static bare propagator by the appropriate dynamical one [17]. The superfield correlation functions encode all the dynamical correlations of the field ϕ and the latter can be extracted from well-defined relations [17]. The details of this calculation and the results will be described in a separate publication [10]. In this paper we present the main results, which can be compared to the numerical simulations.

The two correlation functions that appear in the dynamical study are

$$G(t,t') = \langle \phi(t) \eta(t') \rangle$$

and

$$C(t,t') = \langle \phi(t) \phi(t') \rangle$$

Both of these can be obtained from a single superfield correlation function [Q(1,2)] which is related to Γ_{dyn} through

$$Q^{-1}(1,2) = \frac{\delta^2 \Gamma_{dyn}}{\delta \Phi_1 \delta \Phi_2},\tag{10}$$

where the Φ 's are the superfields and the derivative is evaluated with the source term set to zero. Treating Eq. (2) according to the formalism described above leads to an expression for Γ_{dyn} that involves two-point correlation functions of the fluctuations and is a natural extension of the static $\Gamma(\bar{\phi})$ to correlation functions involving time. The expression, however, does not lend itself to easy analysis except in two cases, early times when a variant of linear theory can be applied and late times when the system is stationary. The late time dynamics involves analysis of the nonlinear terms and will be discussed in the concluding section. The early time dynamics is where one is justified in retaining only quadratic terms in the renormalized Γ_{dyn} . In this limit we obtain the following equation for the equal-time correlation function $C_q(t,t) = \langle \phi_q(t) \phi_{-q}(t) \rangle$, which is the structure factor that is monitored in the simulations:

$$C_{q}(t,t) = \int_{0}^{t} [G(t,t')]^{2} dt',$$
(11)
$$G_{q}(t,t') = \exp\left(-\int_{t'}^{t} [r(t'') + (q^{2} - q_{0}^{2})^{2}] dt''\right).$$

The mass parameter r(t), which is now time dependent, is renormalized in the dynamic theory using the same approximation as in the static theory. The diagrams used are shown in Fig. 5. These again are only to one loop. The mass term then becomes

$$r(t) + (q^{2} - q_{0}^{2})^{2} = \tau + (q^{2} - q_{0}^{2})^{2} + \frac{\lambda}{2} \left(\int \frac{d\mathbf{q}}{(2\pi)^{d}} \frac{1}{[\tau + (q^{2} - q_{0}^{2})^{2}]} - \int \frac{d\mathbf{q}}{(2\pi)^{d}} \frac{\exp\{-2[\tau + (q^{2} - q_{0}^{2})^{2}]t\}}{[\tau + (q^{2} - q_{0}^{2})^{2}]} \right).$$
(12)

Again, the approximation is made self-consistent by replacing the τ with r in the integrand,



FIG. 5. As with the static case, diagrams only up to order λ are used and then the bare parameter is replaced by the renormalized parameter to give a self-consistent solution.

$$r(t) = r_{eq} - \frac{\lambda}{2} \int \frac{d^3q}{(2\pi)^3} \frac{\exp[-2D(q)t]}{D(q)}.$$
 (13)

Here D(q) is the renormalized propagator $D(q) = r_{eq} + (q^2 - q_0^2)^2$ where r_{eq} denotes the *static* renormalized mass parameter that is the solution to Eq. (7).

In Eq. (13), at long times the integrand in the second term becomes small and r(t) approaches r_{eq} , which is confirmed in Sec. III above. At time zero the subtraction of the second term is just a subtraction of the Hartree level correction factor from the bare parameter introduced earlier [Eq. (6)] and, therefore, r(t=0) is just the bare parameter τ , while as $t \rightarrow \infty r(t)$ approaches the renormalized, equilibrium value.

This scenario is confirmed by the simulations. The simulation results discussed in Sec. III B confirm that the equilibrium value r_{eq} is consistent with the Hartree prediction. Analyzing the early stage dynamics can verify the value of r(t) at short times. Figure 6 shows the growth of $S(q_0)$ as a function of time for various values of the bare control parameter τ . To average over noise, five independent runs were taken for each value of the control parameter. If r(t) is *time independent*, the early stage evolution (linear theory) is described by [18]

$$S(q,t) = C_q(t,t) = S(q,0) \exp\{-D(q)t\} + \frac{1}{D(q)} \times [1 - \exp\{-D(q)t\}],$$
(14)

where D(q) is $D(q) = r_0 + (q^2 - q_0^2)^2$ and r_0 is the timeindependent value of *r*. At the peak of the structure factor, $q = q_0$, and $D(q_0)$ is just r_0 , the value of which can be estimated by fitting the simulation results shown in Fig. 6 to the form given in Eq. (14). The results of such fits are presented in Fig. 7. This figure shows that r_0 and τ are linearly related with a slope that is nearly 1. This implies that for short times the dynamically renormalized parameter is linearly related to the bare parameter and is not renormalized to positive values for negative values of the bare parameter. The early stage dynamics, therefore, is characteristic of a system exhibiting unstable growth.

These fits must be considered with some care. In the case where r is not time dependent, the linear theory describes the system only for short times, that is, times on the order of the natural time scale r^{-1} (see, for example, [19]). For the fastest growing mode, when the data are fitted to different time scales, the results obtained are consistent for all time scales up to r^{-1} . For the S-H equation, however, the results obtained are dependent on the time range. In particular, as the range of time increases the values obtained for r become less negative. This is consistent with r(t) growing as a function of time and the results of the fits merely represent some average value of r(t) for the time range involved.

As already stated, if the system has been quenched to a negative value of τ , Eq. (13) indicates that the early time evolution will exhibit unstable growth. As time evolves the second term in Eq. (13) decreases and the value of r(t) approaches r_{eq} , which is always positive. Although the integral in Eq. (13) is hard to evaluate analytically, numerics can provide some insight into its behavior. Figure 8 shows a numerical evaluation of the time evolution of r(t) for various values of r_{eq} and $\lambda = 0.06$. As confirmed in Sec. III above, r(t) will eventually become positive no matter how deep the quench is, although the time for this to happen may become very long. While r(t) is negative the system is expected to undergo unstable growth; although, since the mass parameter is time dependent, the time evolution will be more complicated than the usual phase ordering scenario.

To know whether the system has time to develop a modulated structure before r(t) changes sign, we need to define two times, the crossover time t_{cross} at which r(t) changes sign, and an average growth time t_{ave} . The average growth time is just the inverse of r_{ave} defined as

$$t_{ave}^{-1} = r_{ave} = \frac{1}{t_{cross}} \int_{0}^{t_{cross}} r(t') dt'.$$
 (15)

In Fig. 9, we show plots of t_{cross} and t_{ave} as functions of the bare control parameter. For shallow quenches t_{cross} is small compared to t_{ave} and the system reaches a well-defined metastable equilibrium state, which is disordered. If t_{cross} becomes larger than t_{ave} , metastability becomes difficult to define. The system takes longer to equilibrate in the meta-



FIG. 6. The time evolution of the structure factor peak (in arbitrary units) for the earliest times. Different sets are for different quench depths as indicated on the graph. All quenches to below the mean-field transition temperature initially show unstable growth.



FIG. 7. Growth time (in arbitrary units) as calculated from fits to a linear theory plotted against the quench depth below the mean-field transition. For short times the system should be described by linear theory, which predicts unstable growth for all quenches below the mean-field transition.

stable disordered phase than it takes to grow lamellar structures. We can use the condition $t_{cross} = t_{ave}$ to define a crossover temperature τ_{dyn}^* . Above this temperature the disordered phase quickly becomes locally stable and a nucleation event is needed for the formation of lamellar structures. Below τ_{dyn}^* , the system is expected to evolve continuously toward the lamellar phase without any evidence of metastability of the disordered phase.

Figure 9 is in sharp contrast to standard Ginzburg-Landau (G-L) theory. For the time-dependent G-L equation, the presence of noise suppresses the critical point. In the region where the bare parameter is negative but the renormalized parameter is positive, t_{cross} is always smaller than t_{ave} and so there is no unstable growth until the true critical point is reached. For the system under consideration here, different scenarios are possible depending on the relationship of this dynamical crossover temperature to the first-order transition temperature τ_t^* at which the free energy of the lamellar phase becomes lower than that of the disordered phase. If τ_t^* is higher than τ^*_{dyn} , there will be a regime of temperatures over which the system will undergo nucleation and growth. On the other hand, if τ_t^* is lower than τ_{dyn}^* , then there is no nucleating regime and one will observe only unstable growth, albeit of an unusual nature since the free energy surface is evolving with time. Since the value of $\tau_t^* =$ -2.74 given by Hohenberg and Swift [9] is considerably below τ^*_{dyn} the latter scenario is the one that best fits this system. The results of our simulations, presented in the next section, are in qualitative agreement with this scenario. We would like to emphasize that the growth dynamics described above is qualitatively different from rapid nucleation in which the system quickly reaches the stable equilibrium state. The value of t_{cross} , on the other hand, indicates a type of ergodicity breaking as the system takes a very long time to reach equilibrium.

All of our numerical results indicate that τ_t^* predicted from Hartree theory is lower than τ_{dyn}^* and they are remarkably close to each other. We have been unable to come up with a simple relationship between these two temperatures and, therefore, can only interpret the similarity of the two as a remarkable coincidence. The dynamical crossover is deduced from time scales that characterize the evolution of the free energy surface while the transition temperature is deduced from a comparison of the depths of the two wells. It is not clear why the two temperatures should be similar in magnitude. It can be argued that there is only a single parameter λ controlling the scale of fluctuations and, therefore, the two temperatures should be related; however, there is no obvious argument to suggest that they should be identical.

The picture emerging from the dynamical renormalization is a natural extension of the effect of fluctuations on the static results of the Brazovskii model. Following a quench from a relatively high temperature to a temperature where τ is negative, the system is in a locally unstable region (top of



FIG. 8. The time evolution of the mass parameter as calculated by dynamic renormalization. r(t) (dimensionless) eventually becomes positive for all quenches below the mean-field transition but the time for that crossover increases dramatically for larger quenches. At large times r(t) approaches r_{eq} .



FIG. 9. The calculated crossover time (in arbitrary units) plotted against quench depth. Also plotted is a characteristic growth time for the lamellar structure. These results have been scaled using Eq. (8) so that they are λ independent. When this time becomes much less than the crossover time then the lamellar structure has enough time to grow. Notice that this occurs just above or at the transition temperature τ_t .

a hill). As the fluctuations grow with time, the nonlinear terms characterized by λ become important and they renormalize the curvature of the hill. This scenario is quite different from the usual picture of evolution in an adiabatic potential.

C. Simulation results for late times

Further evidence for the dynamical scenario presented in the previous section comes from examining the long time evolution of the peak of the structure factor obtained from our numerical simulations and correlating that to snapshots of the system as it evolves. Figure 10 is a plot of the amplitude of the structure factor peak as it evolves. For shallow quenches, $\tau \ge -0.03$ ($\tau^* \ge -2.26$), the peak grows to an equilibrium value and does not evolve further. The equilibrium values are consistent with the equilibrium values reported above in Sec. III. For deeper quenches the peak amplitude grows quickly and then appears to saturate; however careful examination shows that the value continues to grow very slowly. This is consistent with late stage domain growth which was studied extensively by Elder et al. for twodimensional (2D) systems [24]. The last feature in the system is a final rise to an equilibrium value. This rise is a finite size affect caused by the majority domain finally taking over the entire system. This being the case, the peak would not be expected to grow much since the difference between the value before and after this final evolution should only represent the surface area between the different domains.



For a quench depth of $\tau = -0.07$ ($\tau^* = -5.27$) this time evolution can be compared to a series of system snapshots shown in Figs. 11–15. These figures represent $\phi = 0$ isosurfaces which would be the boundaries between the different microphases of the system. For early times the system appears to be very disordered while at later times domains of ordered lamellar structures begin to appear. Just before the final convulsive growth, at a time t = 4000, there still appear to be a few different ordered domains while just after the final growth, at t = 5000, only one domain appears to be left in the system. Thus we still have a consistent picture of slow but continuous domain growth in the system which is governed by the evolution of the second-order term as it goes from negative values to positive values.

V. DISCUSSION AND FUTURE WORK

In the work presented above we have shown that analysis of the static free energy does not always provide an adequate description of the fluctuation-driven dynamics. Although Fig. 10 shows features that are reminiscent of a first-order phase transition, dynamical analysis of the renormalized coefficients suggests that a more complicated evolution is taking place. A similar situation occurs for the superconducting transition, which is also a fluctuation-driven first-order transition [20].

In the present work we have paid close attention to the time evolution of the mass parameter and its effect on the evolution of the system at early times. The dynamical per-

> FIG. 10. The evolution of the structure factor peak (in arbitrary units) as a function of time for different quench depths. For all of these runs λ = 0.06. From the static work we know that the actual transition occurs for $-0.04 < \tau < -0.03$. For shallow quenches above the actual transition temperature $S(q_0)$ grows quickly to its equilibrium value. For quenches to below the transition temperature the system grows quickly at early times and then reaches a late stage regime where it evolves by domain growth and $S(q_0)$ grows as a small power law in time. The highlighted line is a quench to $\tau = -0.07$. Snapshots of this system as it evolves are presented in the next set of figures.



FIG. 11. Time=1000.



FIG. 12. Time=2000.



FIG. 14. Time=4000.

turbation theory also becomes tractable at times large compared to t_{cross} when the system has reached equilibrium. As pointed out earlier, one enters this regime fairly quickly for shallow quenches. At this stage, the higher order terms in Γ_{dyn} become important. The most interesting aspect of the renormalized theory is that the fourth-order term becomes nonlocal in time [10],

$$\Gamma_{dyn}(4) \simeq \int d1 d2 \Phi^2(1) K(1-2) \Phi^2(2),$$
 (16)

and the integral of the kernel over all times leads to the Brazovskii result for the renormalized fourth-order term. One can derive an "effective" Langevin equation for the fields ϕ from the renormalized Γ_{dyn} , and Eq. (16) implies that this Langevin equation has "memory" effects that appear in the nonlinear term. This would lead to unusual behavior of the equilibrium correlation functions and might provide a distinctive signature of fluctuation-driven first-order transitions. We are in the process of investigating the effective equation for ϕ numerically. One obvious consequence of the nonlocal term is that the barrier to nucleation is dynamic and it is not valid to think of nucleation as tunneling through a fixed barrier. The situation is closer to the problem of quantum tun-



FIG. 13. Time=3000.



FIG. 15. Time=5000.

neling for many degrees of freedom where one also finds an effective equation that is nonlocal in time [21].

Another area of interest is the role of defects in the phase transition of the system. These will be important for very shallow quenches to just below the transition point and we have noted their presence when looking at snapshots of the system. These defects are reminiscent of the perforated lamellar phase described in Ref. [22]. One way to study this is to use a directional order parameter measure introduced by Christensen and Bray [23] to study the 2D problem. This order parameter is similar to the order parameter for complex fluids and provides directional as well as density information. What it may show is that the local gradient terms are enhanced for negative values of τ , suggesting that perhaps there is a disordered to nematic transition in this region, which others have suggested for 2D [24].

The evidence we have presented here suggests that the time evolution of systems undergoing a fluctuation-driven-first-order transition is different from standard nucleation. We would like to close with a discussion of possible experimental systems where this dynamic scenario can be studied experimentally. Since the effective λ is extremely small in Rayleigh-Bénard systems the regime where the disordered

- state is metastable will probably be inaccessible [25]. Diblock copolymers are another experimental system in which the results described here may be observed. One crucial difference between this work and the polymer experimental system is that in a polymer melt the order parameter is conserved. Inclusion of the conservation law in the work described here would shift the magnitude of the wave vector peak in the growth factor of the linear theory by an amount proportional to the quench depth τ/k_0^4 ; however, this shift is small and it does not change the fact that growth occurs on an isotropic shell of q vectors, which is crucial to the results reported here. As mentioned in the Introduction, the dynamic equations considered here apply only to polymers in the weak segregation regime; that is where the conservation law [13] and hydrodynamics are not important. Even so, in diblock copolymers there should be a significant temperature range, below the mean-field transition, where the disordered state is locally stable, and a study of the equilibrium, twotime correlation function should reveal the existence of the nonlinear memory term. It might also be possible to observe unusual nucleation if the system parameters allow for τ_t^* to be higher than τ_{dvn}^* .
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